

Docket No.: SON-2611/DIV  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Divisional Patent Application of:  
Keiichi Kimura et al.

Application No.: Not Yet Assigned

Confirmation No.: 6112

Filed: March 23, 2004

Art Unit: 3723

For: **POLISHING METHOD AND POLISHING  
APPARATUS**

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Examiner: Hadi Shakeri

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A copy of each non-US reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

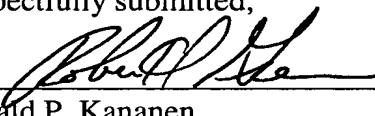
It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-0013, under Order No. . A duplicate copy of this paper is enclosed.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 18-0013, under Order No. from which the undersigned is authorized to draw.

Dated: March 23, 2004

Respectfully submitted,

By  Ronald P. Kananen

*Ronald S. Grauer*  
Reg. No. 41,800

Registration No.: 24,104  
RADER, FISHMAN & GRAUER PLLC  
1233 20th Street, N.W.  
Suite 501  
Washington, DC 20036  
(202) 955-3750  
Attorney for Applicant

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|---------------------------------|---|----|---|--------------------------|------------------|
| Substitute for form 1449A/B/PTO |   |    |   | <b>Complete if Known</b> |                  |
|                                 |   |    |   | Application Number       | Not Yet Assigned |
|                                 |   |    |   | Filing Date              | March 23, 2004   |
|                                 |   |    |   | First Named Inventor     | Keiichi Kimura   |
|                                 |   |    |   | Art Unit                 | 3723             |
|                                 |   |    |   | Examiner Name            | Hadi Shakeri     |
| Sheet                           | 1 | of | 1 | Attorney Docket Number   | SON-2611/DIV     |

| <b>U.S. PATENT DOCUMENTS</b> |                       |  |                                |  |   |
|------------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*           | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                              |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| AA                           | US-2002/0058461 A1    | 5-16-2002                                | Kimura et al.                  |  |   |
| AB                           | US-5,212,382          | 5-18-1993                                | Sasaki et al.                  |  |   |
| AC                           | US-5,461,007          | 10-24-1995                               | Kobayashi                      |  |   |
| AD                           | US-6,048,255          | 4-11-2000                                | Kuo et al.                     |  |   |

| <b>FOREIGN PATENT DOCUMENTS</b> |                       |   |                                |  |   |
|---------------------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*              | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |
|                                 |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |
| BA                              | 11-288906             |   | 10-19-1999                     | Siemens AG Int'l Business Corp (IBM)               | T <sup>6</sup>  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| <b>NON PATENT LITERATURE DOCUMENTS</b> |                       |   |  |  |                |
|--|-----------------------|---|--|--|----------------|
| Examiner Initials                      | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  | T <sup>2</sup> |
|  |                       |   |  |  |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|